

SEC Rule 606 Report - BMO Capital Markets - 2nd Qtr, 2019

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.29	0.00	99.71	86,818	250	0	86,568	0	0.29	0.00	99.71
Credit Suisse Securities (USA) LLC	22.04	0.00	0.00	22.11	19,136	0	0	19,136	0	0.00	0.00	100.00
Clearpool Execution Services	18.76	0.00	0.00	18.82	16,289	0	0	16,289	0	0.00	0.00	100.00
BMO Capital Markets Corp.	18.39	34.40	0.00	18.35	15,967	86	0	15,881	0	0.54	0.00	99.46
NYSE MKT	14.66	0.00	0.00	14.70	12,724	0	0	12,724	0	0.00	0.00	100.00
SpeedRoute LLC.	8.83	0.00	0.00	8.85	7,665	0	0	7,665	0	0.00	0.00	100.00
New York Stock Exchange	6.41	0.00	0.00	6.43	5,563	0	0	5,563	0	0.00	0.00	100.00
Nesbitt Burns, Inc.	4.42	1.20	0.00	4.43	3,836	3	0	3,833	0	0.08	0.00	99.92

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.00	0.00	100.00	58,890	0	0	58,890	0	0.00	0.00	100.00
Credit Suisse Securities (USA) LLC	30.19	0.00	0.00	30.19	17,780	0	0	17,780	0	0.00	0.00	100.00
Clearpool Execution Services	27.31	0.00	0.00	27.31	16,084	0	0	16,084	0	0.00	0.00	100.00
BMO Capital Markets Corp.	16.19	0.00	0.00	16.19	9,536	0	0	9,536	0	0.00	0.00	100.00
Nasdaq Execution Services	11.75	0.00	0.00	11.75	6,917	0	0	6,917	0	0.00	0.00	100.00
SpeedRoute LLC.	8.64	0.00	0.00	8.64	5,088	0	0	5,088	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	0.02	0.00	99.98	4,012	1	0	4,011	0	0.02	0.00	99.98
Clearpool Execution Services	19.59	0.00	0.00	19.60	786	0	0	786	0	0.00	0.00	100.00
SpeedRoute LLC.	19.02	0.00	0.00	19.02	763	0	0	763	0	0.00	0.00	100.00
Nesbitt Burns, Inc.	18.92	0.00	0.00	18.92	759	0	0	759	0	0.00	0.00	100.00
Credit Suisse Securities (USA) LLC	13.04	0.00	0.00	13.04	523	0	0	523	0	0.00	0.00	100.00
BMO Capital Markets Corp.	12.19	0.00	0.00	12.19	489	0	0	489	0	0.00	0.00	100.00
NYSE MKT	4.44	0.00	0.00	4.44	178	0	0	178	0	0.00	0.00	100.00
NYSE Arca	3.79	0.00	0.00	3.79	152	0	0	152	0	0.00	0.00	100.00

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Publicly Posted Percentages				Private Supporting Data							
	Total (%)	Market (%)	Limit (%)	Other (%)	Total (#)	Market (#)	Limit (#)	Other (#)	*Unknown (#)	Market (%)	Limit (%)	Other (%)
Total	100.00	25.65	48.40	25.95	2,405	617	1,164	624	0	25.65	48.40	25.95
Puma Capital, LLC.	34.68	0.97	39.78	58.49	834	6	463	365	0	0.72	55.52	43.76
NYSE MKT	31.02	85.90	8.08	19.55	746	530	94	122	0	71.05	12.60	16.35
DASH FINANCIAL TECHNOLOGIES LLC	17.92	1.30	34.28	3.85	431	8	399	24	0	1.86	92.58	5.57
Wolverine Execution Svc.,	7.40	10.37	6.36	6.41	178	64	74	40	0	35.96	41.57	22.47
ISEG	5.45	0.00	11.25	0.00	131	0	131	0	0	0.00	100.00	0.00

100.00% of total customer orders were non-directed orders.

[Material Aspects of Relationship with Route Venues](#)

[Material Aspects of Relationship with Route Venues-PDF material aspects](#)
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*Unknown is part of Other.

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